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Ultracold quantum gases in optical lattices, continued  
Superfluid-Mott insulator transition

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## Measurement of the fine structure constant $\alpha$ :

$$\alpha^2 = \frac{4\pi R_\infty}{c} \times \frac{M}{m_e} \times \frac{\hbar}{M}$$

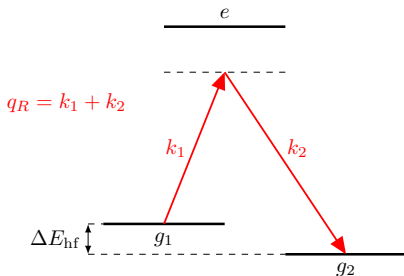
$R_\infty$ : Rydberg constant

$m_e$ : electron mass

$M$ : atomic mass

- possible window on physics beyond QED : interactions with hadrons and muons, constraints on theories postulating an internal structure of the electron, ...

## Measurement of $\frac{\hbar}{M}$ : Experiment in the group of F. Biraben (LKB, Paris)

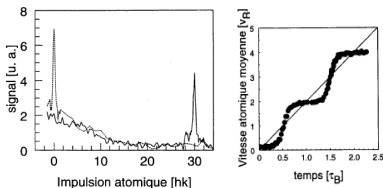


Doppler-sensitive Raman spectroscopy :

$$\hbar\omega_{\text{res}} = \Delta E + \frac{\hbar^2}{2M} (p_i + \Delta k + q_R)^2$$

$$\Rightarrow \frac{\hbar}{M} = \frac{\omega_{\text{res}}(p_i + \Delta k) - \omega_{\text{res}}(p_i)}{q_R \Delta k}$$

## Large momentum beamsplitter using Bloch oscillations :

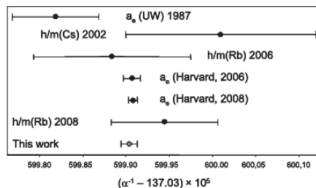


After  $N$  Bloch oscillations, momentum transfer of  $\Delta k = 2N\hbar k_L$  to the atoms in the lab frame.

This transfer is perfectly coherent and enables beamsplitters where part of the wavepacket remains at rest while the other part is accelerated.

 Measurement of  $\frac{\hbar}{M}$  :

- $N \sim 10^3$  : Comparable uncertainty as current best measurement (anomalous magnetic moment of the electron – Gabrielse group, Harvard).
- Independent of QED calculations
- Other applications in precision measurements: measurement of weak forces, e.g. Casimir-Polder [Beaufils *et al.*, PRL 2011].



[Bouchendir *et al.*, PRL 2011]

- 1 Bose-Hubbard model
- 2 Ground state : Superfluid -Mott insulator transition
- 3 Phase coherence
- 4 Dynamics and transport
- 5 Shell structure

Basic Hamiltonian for bosons interacting *via* short-range forces :

$$\hat{H} = \hat{H}_0 + \hat{H}_{\text{int}},$$

$$\hat{H}_0 = \int d\mathbf{r} \hat{\Psi}^\dagger(\mathbf{r}) \left[ -\frac{\hbar^2}{2M} \Delta + V_{\text{lat}}(\mathbf{r}) \right] \hat{\Psi}(\mathbf{r}),$$

$$\hat{H}_{\text{int}} = \frac{g}{2} \int d^{(3)}\mathbf{r} \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}(\mathbf{r}) \hat{\Psi}(\mathbf{r}).$$

- $\hat{\Psi}(\mathbf{r})$  : field operator annihilating a boson a position  $\mathbf{r}$
- $V_{\text{lat}}(\mathbf{r})$  : lattice potential
- $g = 4\pi\hbar^2 a/m$  : coupling constant (scattering length  $a$ )

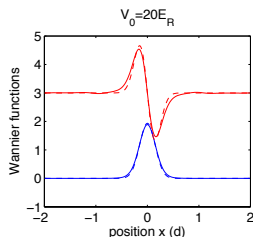
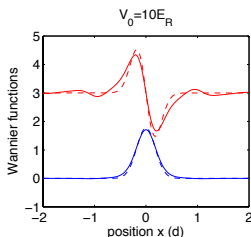
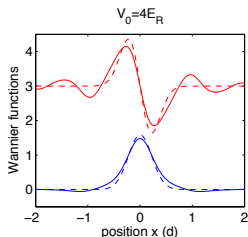
Not simpler in the Bloch basis.

We are going to introduce a new basis of so-called Wannier functions that permit to simplify drastically the problem.

**Wannier functions** :  $w_n(x - x_n) = \frac{1}{\sqrt{N_s}} \sum_{q \in BZ1} e^{-iqx_n} \phi_{n,q}(x)$

discrete Fourier transforms with respect to the site locations of the Bloch wave functions,

- All Wannier functions deduced from  $w_n(x)$  by translations.
- Exactly  $N_s$  such functions per band (as many as Bloch functions).
- Basis of Hilbert space (but not an eigenbasis of  $\hat{H}$ ).



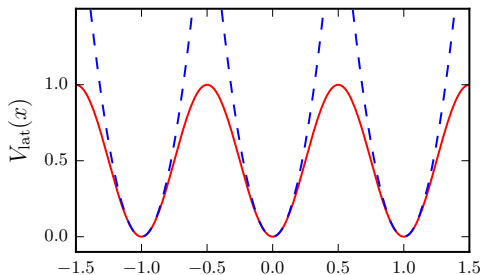
**Cautionary note:** Bloch functions are defined up to a  $q$ -dependent phase which needs to be fixed to obtain localized Wannier functions [W. Kohn, Phys. Rev. (1959)].

Harmonic approximation for each well :

$$V_{\text{lat}}(x \approx x_i) \approx \frac{1}{2} M \omega_{\text{lat}}^2 (x - x_i)^2,$$

$$\hbar \omega_{\text{lat}} = 2 \sqrt{V_0 E_R}.$$

The bands are centered around the energy  $\bar{E}_n \approx (n + 1/2) \hbar \omega_{\text{lat}}$ .



First correction : quantum tunneling across the potential barriers  $\sim \exp(-J)$

Bloch basis :

$$H = \sum_{n,k \in \text{BZ1}} \varepsilon_n(k) \hat{b}_{n,k}^\dagger \hat{b}_{n,k}.$$

$\hat{b}_{n,k}$  : annihilation operator for Bloch state  $(n, k)$ .

Wannier basis :

$$H = - \sum_{n,i,j} J_n(i-j) \hat{a}_{n,i}^\dagger \hat{a}_{n,j},$$

$\hat{a}_{n,i}$  : annihilation operator for Wannier state  $w_n(x - x_i)$ .

Tunneling matrix elements :

$$J_n(i-j) = \int dx w_n^*(x - x_j) \left( \frac{\hbar^2}{2m} \Delta - V_{\text{lat}}(x) \right) w_n(x - x_i).$$

(also called hopping parameters) depend only on the relative distance  $x_i - x_j$  between the two sites.

Useful form :

$$J_n(i-j) = -\frac{1}{N_s} \sum_{q \in \text{BZ1}} \varepsilon_n(q) e^{iq \cdot (x_i - x_j)}.$$



For deep lattices (roughly  $V_0 \gg 5E_R$ ), the tunneling energies fall off exponentially quickly with distance.

- On-site term ( $i = j$ ) : Mean energy of band  $n$

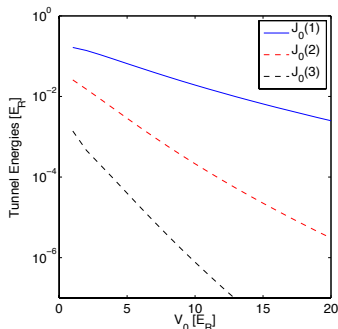
$$J_n(0) = -\frac{1}{N_s} \sum_{q \in BZ1} \varepsilon_n(q) = -\bar{E}_n$$

- Nearest-neighbor tunneling ( $i = j \pm 1$ ):

$$J_n(1) = -\frac{1}{N_s} \sum_{q \in BZ1} \varepsilon_n(q) e^{iqx} = -J_n$$

Two useful approximations :

- Tight-binding approximation** : keep only the lowest terms
- Single-band approximation** : keep only the lowest band-drop band index and let  $J_0(1) \equiv J$



$$\hat{H}_{TB} = \sum_i \bar{E}_0 \hat{a}_i^\dagger \hat{a}_i - \sum_{\langle i,j \rangle} J \hat{a}_i^\dagger \hat{a}_j,$$

Basis of Wannier functions  $W_\nu(\mathbf{r} - \mathbf{r}_i)$ :

$$\hat{\Psi}(\mathbf{r}) = \sum_{\nu,i} W_\nu(\mathbf{r} - \mathbf{r}_i) \hat{a}_{\nu,i}.$$

$\mathbf{r}_i$  : position of site  $i$ ,

$\nu$  : band index

$\hat{a}_{\nu,i}$  : annihilation operator

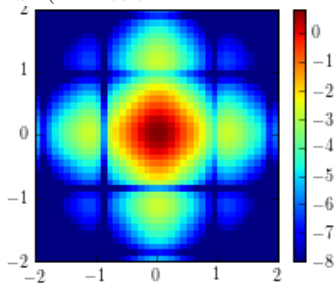
$$\hat{H} = \hat{H}_0 + \hat{H}_{\text{int}},$$

$$\hat{H}_0 \rightarrow \hat{H}_{TB} = - \sum_{\langle i,j \rangle} J \hat{a}_i^\dagger \hat{a}_j,$$

$$\hat{H}_{\text{int}} \rightarrow \frac{1}{2} \sum_{i,j,k,l} U_{ijkl} \hat{a}_i^\dagger \hat{a}_j^\dagger \hat{a}_k \hat{a}_l,$$

$$U_{ijkl} = g \int d^3\mathbf{r} W^*(\mathbf{r} - \mathbf{r}_i) W^*(\mathbf{r} - \mathbf{r}_j) \\ \times W(\mathbf{r} - \mathbf{r}_k) W(\mathbf{r} - \mathbf{r}_l)$$

$\log(|W(x, y, 0)|^2)$  for  $V_0 = 5E_R$ :



In the tight binding regime, strong localization of Wannier function  $W(\mathbf{r} - \mathbf{r}_i)$  around  $\mathbf{r}_i$ . In the interaction energy, on-site interactions ( $i = j = k = l$ ) are strongly dominant.

- 1 Single band approximation
- 2 Tight-binding approximation
- 3 On-site interactions

Bose-Hubbard model :

$$H_{\text{BH}} = -J \sum_{\langle i,j \rangle} \hat{a}_i^\dagger \hat{a}_j + \frac{U}{2} \sum_i \hat{n}_i (\hat{n}_i - 1).$$

$\hat{n}_i = \hat{a}_i^\dagger \hat{a}_i$  : operator counting the number of particles at site  $i$ .

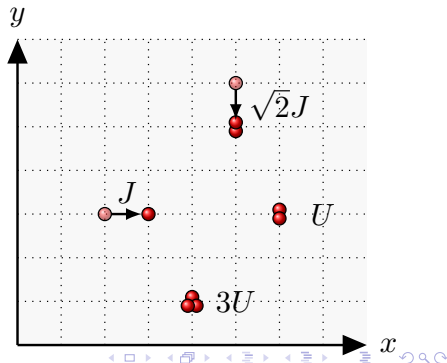
- Tunneling energy :

$$J = \frac{\max \varepsilon(\mathbf{q}) - \min \varepsilon(\mathbf{q})}{2z}$$

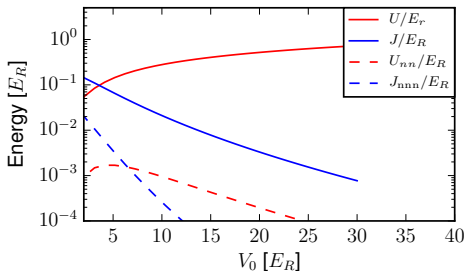
$z = 6$  : number of nearest neighbors

- On-site interaction energy :

$$U = g \int d\mathbf{r} w(\mathbf{r})^4.$$



Calculation for  $^{87}\text{Rb}$  atoms [ $a=5.5$  nm] in a lattice at  $\lambda_L = 820$  nm:



Harmonic oscillator approximation :

$$\frac{\Delta_{\text{band}}}{E_R} \approx \frac{\hbar\omega_{\text{lat}}}{E_R} = \sqrt{\frac{2V_0}{E_R}}, \quad \frac{U}{E_R} \approx \sqrt{\frac{8}{\pi}} k_L a \left( \frac{V_0}{E_R} \right)^{3/4}.$$

- 1 **Single band approximation :**
  - $V_0 \gg E_R$
  - $U \ll \Delta_{\text{band}} : k_L a \ll \left( \frac{E_R}{V_0} \right)^{1/4}$
- 2 **Tight-binding approximation :**  $V_0 \gg 5E_R$
- 3 **On-site interactions :**  $V_0 \gg E_R$

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BEC in the lowest energy Bloch state  $\mathbf{q} = 0$  :

$$|\Psi\rangle_N = \frac{1}{\sqrt{N!}} \left( \hat{b}_{\mathbf{q}=0}^\dagger \right)^N |\emptyset\rangle = \frac{1}{\sqrt{N!}} \left( \frac{1}{\sqrt{N_s}} \sum_i \hat{a}_i^\dagger \right)^N |\emptyset\rangle$$

- Fixed number of particles  $N$ : canonical ensemble

Probability to find  $n_i$  atoms at one given site  $i$  :

$$p(n_i) \approx e^{-\bar{n}} \frac{\bar{n}^{n_i}}{n_i!} + \mathcal{O}\left(\frac{1}{N}, \frac{1}{N_s}\right)$$

Poisson statistics, mean  $\bar{n}$ , standard deviation  $\sim \sqrt{\bar{n}}$  In the thermodynamic limit

$N \rightarrow \infty, N_s \rightarrow \infty$ , one finds the **same result as for a coherent state with the same average number of particles  $N$** :

$$|\Psi\rangle_{\text{coh}} = \mathcal{N} e^{\sqrt{N} \hat{b}_{\mathbf{q}=0}^\dagger} |\emptyset\rangle = \prod_i \left( \mathcal{N}_i e^{\sqrt{\bar{n}} \hat{a}_i^\dagger} |\emptyset\rangle \right)$$

- Fluctuating number of particles  $N$ : grand canonical ensemble  
 $H_{\text{BH}} \rightarrow G = H_{\text{BH}} - \mu N$

BEC in the lowest energy Bloch state  $\mathbf{q} = 0$ , grand canonical ensemble :

$$|\Psi\rangle_{\text{coh}} = \prod_i |\alpha_i\rangle, \quad |\alpha_i\rangle = \mathcal{N}_i \sum_{n_i=0}^{\infty} \frac{\alpha_i^{n_i}}{\sqrt{n_i!}} |n_i\rangle_i$$

One can relate the presence of the condensate to a non-zero expectation value of the matter wave field  $\alpha_i = \langle \hat{a}_i \rangle$ , playing the role of an order parameter :

- Condensate wavefunction :  $\alpha_i = \langle \hat{a}_i \rangle = \sqrt{\frac{N}{N_s}} e^{i\phi}$
- Mean density :  $\bar{n} = |\alpha_i|^2 = \text{condensate density}$

**Spontaneous symmetry breaking point of view.**

Starting point to formulate a Gross-Pitaevskii (weakly interacting) theory :

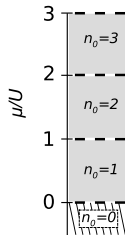
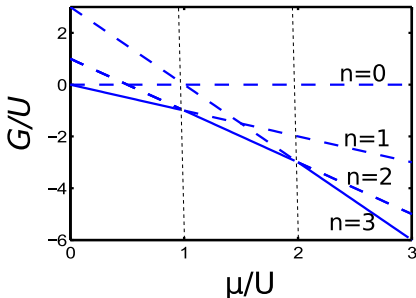
variational ansatz with self-consistent  $\alpha_i$  determined by the total (single-particle + interaction) Hamiltonian.

“Adiabatic continuation” from the ideal Bose gas.

Lattice  $\equiv$  many independent trapping wells

Many-body wavefunction : product state running over all lattice sites  $|\Psi\rangle = \prod_i |\bar{n}\rangle$

Free energy for one well:  $G_{J=0} = \frac{U}{2} \hat{n}_i (\hat{n}_i - 1) - \mu \hat{n}_i$   
 with  $\bar{n} = \text{int}(\mu/U) + 1$  : (integer) filling that minimizes  $\mathcal{H}_{\text{int}}$ .



$\mu/U = p$  integer :  $p$  and  $p + 1$  degenerate, on-site wave function = any superposition of the two.



Variational wavefunction :

$$|\Psi\rangle_{\text{Gutzwiller}} = \prod_i |\phi_i\rangle,$$

$$|\phi_i\rangle = \sum_{n_i=0}^{\infty} c(n_i) |n_i\rangle_i.$$

- Correct in both limits  $J \rightarrow 0$  and  $U \rightarrow 0$
- Minimize  $\langle \Psi | H_{\text{BH}} - \mu \hat{N} | \Psi \rangle$  with respect to  $\{c(n_i)\}$  with the constraint  $\bar{n} = \sum_{n=0}^{\infty} |c(n)|^2 n$

Equivalent to mean-field theory :  $\alpha_i = \langle \hat{a}_i \rangle = \langle \phi_i | \hat{a}_i | \phi_i \rangle \neq 0$

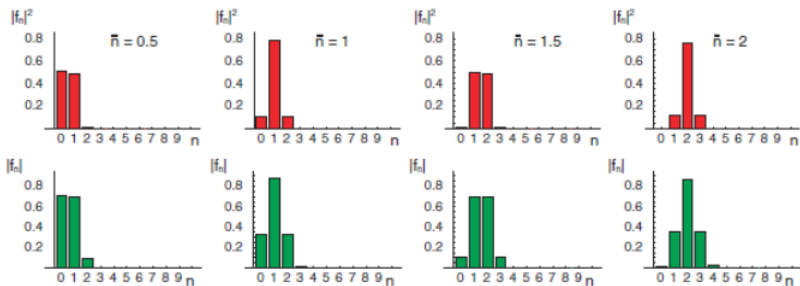
$$\begin{aligned} \langle \Psi | H_{\text{BH}} - \mu \hat{N} | \Psi \rangle &= -J \sum_{\langle i,j \rangle} \alpha_i^* \alpha_j + \sum_i \langle \phi_i | \left( \frac{U}{2} (\hat{n}_i^2 - \hat{n}_i) - \mu \hat{n}_i \right) | \phi_i \rangle \\ &= \sum_i \langle \phi_i | \hat{h}_i | \phi_i \rangle \end{aligned}$$

Effective single-site Hamiltonian :  $\hat{h}_i = -J \left( \sum_{j \text{nn}} \alpha_j^* \right) \hat{a}_i + \frac{U}{2} (\hat{n}_i^2 - \hat{n}_i) - \mu \hat{n}_i.$

**Uniform system** :  $|\phi\rangle_i$  identical for all sites  $i$

**Strong interactions**  $U \geq J$ : on-site number fluctuations become costly.

The on-site statistics  $p(n_i)$  evolves from a broad Poisson distribution to a peaked one around some integer  $n_0$  closest to the average filling : **number squeezing**.



Numerical calculation close to Mott transition [from M. Greiner's PhD thesis (2003)]

## Analytical results [Altman & Auerbach, PRL 2001] :

- Truncate local Hilbert space to three states  $|n_0 - 1\rangle, |n_0\rangle, |n_0 + 1\rangle$  ( $n_0$  closest integer to the (fixed) filling fraction  $\bar{n}$ ),
- For **Commensurate filling** :  $\bar{n} = n_0$ , parametrize the amplitudes as :  
 $c(n_0 \pm 1) = \frac{1}{\sqrt{2}} \sin(\theta)$ ,  $c(n_0) = \cos(\theta)$  ( $\theta \in [0, \pi/2]$ ).

## Variational free energy :

$$\langle \mathcal{G}_{\text{BH}} \rangle_{\text{Gutzwiller}} = \mathcal{G}_{J=0} + \frac{U}{2} \sin^2(\theta) - \frac{zJA(n_0)}{2} \sin^2(2\theta)$$

with  $A(n_0) = (\sqrt{n_0} + \sqrt{n_0 + 1})^2/4$  and with  $z = 6$  the number of nearest neighbors.

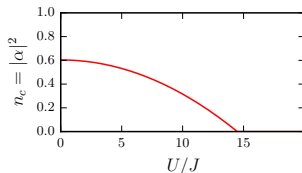
$$\text{minimum} = \begin{cases} \sin(2\theta) = 0 & \text{if } U \geq U_c \quad (\text{Mott insulator}) \\ \cos(2\theta) = \frac{U}{U_c} & \text{if } U \leq U_c \quad (\text{superfluid}) \end{cases}$$

$$\text{critical interaction : } U_c = 4zJA(n_0)$$

## Order parameter for $U \leq U_c$ :

$$\alpha = \langle \hat{a}_i \rangle = \sqrt{\frac{A(n_0)}{2}} \sin(2\theta) = \sqrt{\frac{A(n_0)}{2}} \sqrt{1 - \left(\frac{U}{U_c}\right)^2}$$

OP for  $\bar{n} = 1$



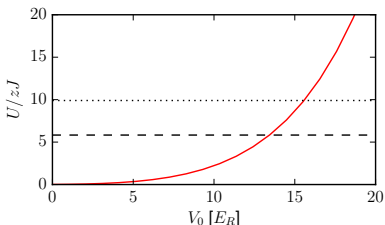
Transition from a delocalized superfluid state to a localized Mott insulator state above a critical interaction strength  $U_c$

## Superfluid:

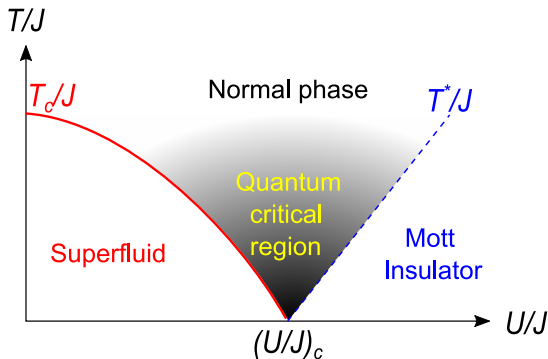
- non-zero condensed fraction  $|\alpha|^2$
- on-site number fluctuations
- Gapless spectrum
- Long wavelength superfluid flow can carry mass across the lattice

## Mott insulator :

- zero condensed fraction
- on-site occupation numbers pinned to the *same* integer value
- Energy gap  $\sim U$  (far from transition)
- No flow possible unless one pays an extensive energy cost  $\sim U$



Mott transition : prototype of a quantum phase transition driven by two competing terms in the Hamiltonian



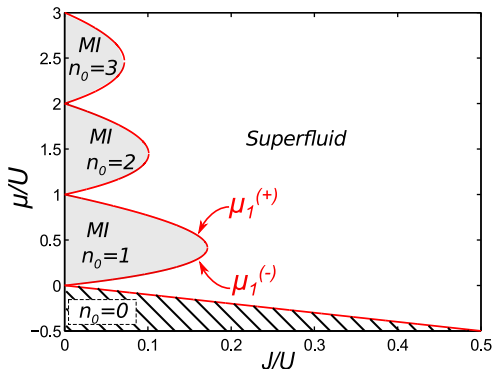
- Different from standard phase transition (competition between energy and entropy)
- Thermal crossover in the Mott insulator regime
- Strongly fluctuating quantum critical region

Generalization to incommensurate fillings:

Superfluid stable when

$$\mu_{n_0}^{(+)} \leq \mu \leq \mu_{n_0+1}^{(-)}$$

$\mu_{n_0}^{(\pm)}$ : upper/lower boundaries of the Mott region with occupation number  $n_0$



$$\mu_{n_0}^{(\pm)} = U\left(n_0 - \frac{1}{2}\right) - \frac{zJ}{2} \pm \sqrt{U^2 - 2UzJ(2n_0 + 1) + (zJ)^2}$$

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Evolution of field operator after suddenly switching off the lattice :

$$\hat{\psi}(\mathbf{r}, t = 0) = \sum_i W(\mathbf{r} - \mathbf{r}_i) \hat{b}_i \rightarrow \hat{\psi}(\mathbf{k}) \propto \tilde{W}(\mathbf{K}) \sum_i e^{i\mathbf{K} \cdot \mathbf{r}_i} \hat{b}_i$$

Time of flight signal, far-field regime ( $\mathbf{K} = \frac{M\mathbf{r}}{\hbar t}$ ) :

$$n_{\text{tof}}(\mathbf{K}) = \langle \hat{\psi}^\dagger(\mathbf{K}) \hat{\psi}(\mathbf{K}) \rangle \approx \mathcal{G}(\mathbf{K}) \mathcal{S}(\mathbf{K})$$

- $\mathcal{G}(\mathbf{K}) = \left(\frac{M}{\hbar t}\right)^3 |\tilde{W}(\mathbf{K})|^2$   
smooth envelope function
- $\mathcal{S}(\mathbf{K}) = \sum_{i,j} e^{i\mathbf{K} \cdot (\mathbf{r}_j - \mathbf{r}_i)} \langle \hat{b}_i^\dagger \hat{b}_j \rangle$   
structure factor.

Key quantity : single-particle correlation function (also called  $g^{(1)}(\mathbf{r}, \mathbf{r}')$ )

$$\mathcal{C}(i, j) = \langle \hat{b}_i^\dagger \hat{b}_j \rangle$$

Determines the structure factor and the interference pattern (or lack thereof)



# Time-of-flight transition across the Mott transition

$$C(i, j) = \langle \hat{b}_i^\dagger \hat{b}_j \rangle$$

$$S(\mathbf{K}) = \sum_{i, j} e^{i\mathbf{K} \cdot (\mathbf{r}_j - \mathbf{r}_i)} \langle \hat{b}_i^\dagger \hat{b}_j \rangle$$

Superfluid/BEC :

Mott insulator :

$$C_{\text{BEC}}(i, j) = \sqrt{\bar{n}_i \bar{n}_j}$$

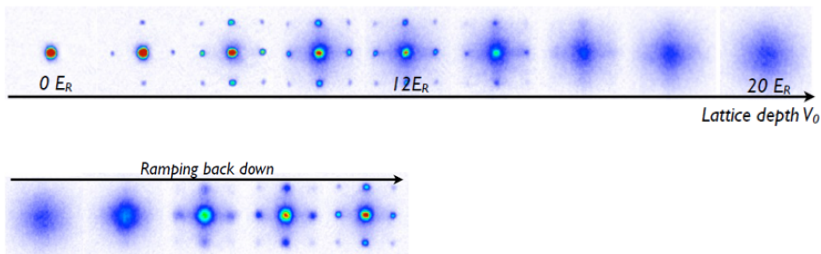
$$C_{\text{Mott}}(i, j) = n_0 \delta_{i, j}$$

$$S_{\text{SF}}(\mathbf{K}) \approx \left| \sum_i e^{i\mathbf{K} \cdot \mathbf{r}_i} \sqrt{\bar{n}_i} \right|^2,$$

$$S_{\text{Mott}}(\mathbf{K}) \approx N_s$$

Bragg spots (height  $\sim N_s^3$ , width  $\sim 1/N_s$ )

Featureless



M. Greiner et al., Nature 2002.

## Atomic limit $J = 0$ :

- ground state :  $|\Psi_0\rangle = \prod_i |n_0\rangle_i$ , Energy :  $E_0 = N_s \left( \frac{U}{2} n_0(n_0 - 1) - \mu n_0 \right)$ .
- Lowest excited states :

$$\begin{aligned} \text{Particle states: } & \begin{cases} |p : \mathbf{r}_i\rangle & = \frac{1}{\sqrt{n_0+1}} \hat{a}_i^\dagger |\Psi_0\rangle, \\ \text{Energy } E_p & = E_0 + U n_0 - \mu \end{cases} \\ \text{Hole states: } & \begin{cases} |h : \mathbf{r}_i\rangle & = \frac{1}{\sqrt{n_0}} \hat{a}_i |\Psi_0\rangle, \\ \text{Energy } E_h & = E_0 + \mu - U(n_0 - 1) \end{cases} \end{aligned}$$

- another interpretation of the superfluid-Mott boundaries : instability towards proliferation of quasi-particle or holes

## Ground state for $J \ll U$ , small but finite:

- The true ground state is not a regular array of Fock state (as found from mean-field theory), but mixes "bound" particle-hole excitations.

$$|\Psi_g\rangle \approx \Psi_0 + \sum_{\nu \neq 0} \frac{J}{E_\nu - E_0} \hat{T} |\Psi_0\rangle = \Psi_0 + \frac{J \sqrt{n_0(n_0 + 1)}}{U} \sum_{\langle i,j \rangle} |p : \mathbf{r}_i; h : \mathbf{r}_j\rangle$$

- Short-range phase coherence :  $\mathcal{C}(i, j) \sim \left( \frac{J}{U} \right)$  for  $i, j$  nearest neighbours.

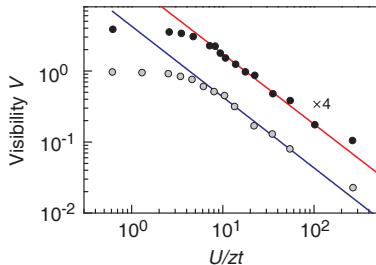
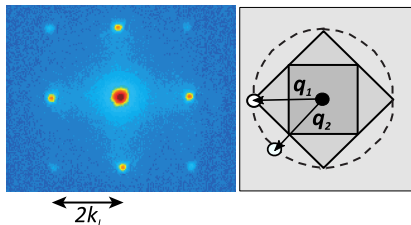
Structure factor:

$$S(\mathbf{q}) = N_s \left( 1 + \frac{2zJn_0(n_0 + 1)}{U} \gamma_{\mathbf{q}} \right)$$

- $\delta = \pm e_x, \pm e_y, \pm e_z$ : vector joining nearest neighbors
- $\gamma_{\mathbf{q}} = \frac{2}{z} \sum_{\alpha=x,y,z} \cos(q_{\alpha}d)$ : dimensionless form of the single-particle dispersion relation.

Visibility :

$$\mathcal{V} = N_s \frac{S(\mathbf{q}_1) - S(\mathbf{q}_2)}{S(\mathbf{q}_1) + S(\mathbf{q}_2)}.$$

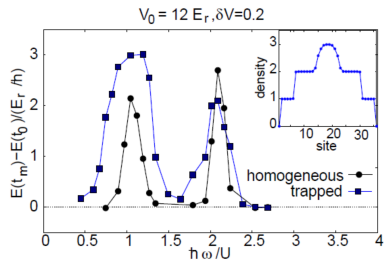
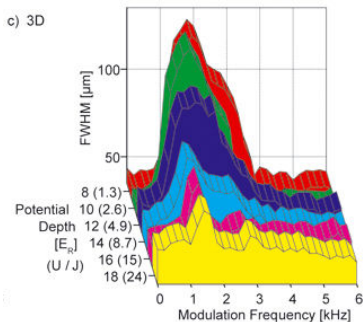


Gerbier *et al.*, PRL 2005

MI transition :  $V_0 \approx 13 E_R$  for  $n_0 = 1$ ,  
 $V_0 \approx 15 E_R$  for  $n_0 = 2$

- 1 Bose-Hubbard model
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- Modulation of the lattice height :  $V_0(t) = V_0 + \delta V_0 \cos(\omega_{\text{mod}} t)$
- Main effect for deep lattices :  $\delta \hat{V} = -\delta J \cos(\omega_{\text{mod}} t) \sum_{\langle i,j \rangle} \hat{a}_i^\dagger \hat{a}_j$
- **Superfluid regime** : broad response at all frequencies
- **Mott insulator regime** : Coupling to particle-hole excitations  $\implies$  peaks at  $\omega_{\text{mod}} \approx \frac{U}{\hbar}$



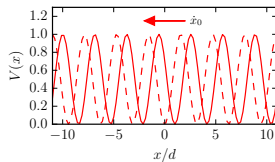
Th.: Kollath *et al.*, PRL 2006

Exp: Schori *et al.*, PRL 2004

Uniformly accelerated lattice :  $V_{\text{lat}}[x - x_0(t)]$  with  $x_0 = -\frac{Ft^2}{2m}$

Lab frame:

$$H_{\text{lab}} = \frac{p^2}{2m} + V_{\text{lat}}[x - x_0(t)]$$



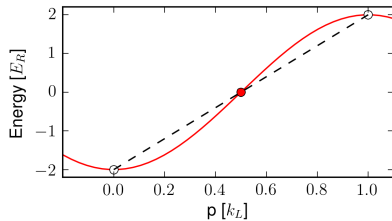
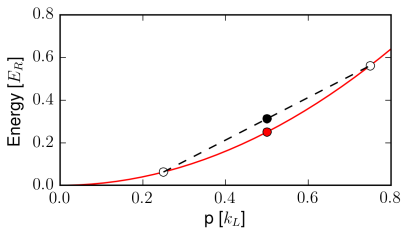
Non-interacting atoms undergo Bloch oscillations.

What happens with interactions ?

Collision of two atoms with momentum  $\mathbf{p}_0$  :

$$2\mathbf{p}_0 = \mathbf{p}_1 + \mathbf{p}_2$$

$$2\varepsilon(\mathbf{p}_0) = \varepsilon(\mathbf{p}_1) + \varepsilon(\mathbf{p}_2)$$

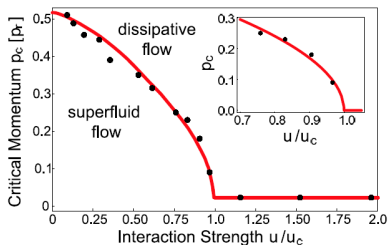
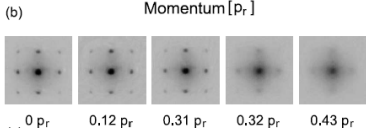
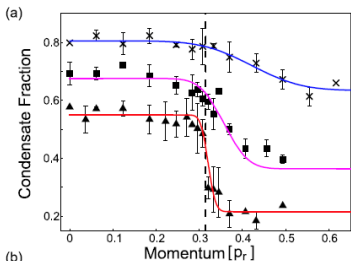


Because of the band structure, collisions redistributing quasi-momentum in the Brillouin zone are kinematically allowed in a lattice (for  $|q| > \frac{\pi}{2d}$  in 1D).

This leads to a *dynamical instability* of wavepackets exceeding a certain critical velocity ( $v_c = \frac{\hbar k_L}{M}$  in 1D).

MIT experiment [Mun *et al.*, PRL 2007]:

- moving lattice dragging the cloud along
- $p_r = \hbar k_L$ : momentum unit
- cycle the lattice back and forth through the cloud (period 10 ms)



Critical point near  $U/J \approx 34.2(2)$

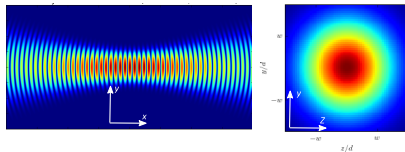
Mean field theory predicts 34.8 , quantum Monte-Carlo 29.3 : ?



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Actual laser beams have Gaussian profile :  
Lattice potential of the form

$$V_{1D} = -V_0 \cos^2(k_L x) e^{-2\frac{y^2+z^2}{w^2}}.$$



In Wannier basis, additional potential energy term :

$$\delta V_x \approx \sum_i \frac{1}{2} M \Omega^2 (y_i^2 + z_i^2) \hat{a}_i^\dagger \hat{a}_i, \text{ with } \Omega^2 \approx \frac{8V_0}{Mw_x^2} \left(1 - \frac{k_L \sigma_w}{2}\right).$$

For a 3D lattice :  $\delta V \approx \sum_i V_h(\mathbf{r}_i) \hat{a}_i^\dagger \hat{a}_i$ , with  $V_h$  a harmonic potential.

**Local density approximation for a smooth potential :**

$$\mu_{\text{loc}}(\mathbf{r}) = \mu - V_h(\mathbf{r}),$$

$\mu$  : global chemical potential fixed by constraining the total atom number to  $N$

The density profile is given by the equation of state  $n[\mu]$  for the uniform system, evaluated at  $\mu = \mu_{\text{loc}}(\mathbf{r})$ .

## An insulator is incompressible :

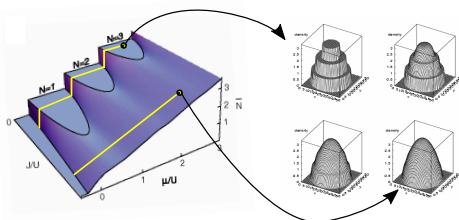
Within a Mott lobe, changing the chemical potential does not change the density.

Consequence of the gap for producing particle/hole excitations, which vanishes at the phase boundaries.

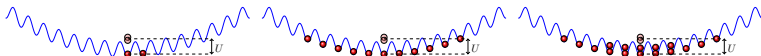
## Consequence : non-uniform density profile in a trap

Density profile in the LDA given by  $n_{\text{uniform}}[\mu - V_h(\mathbf{r})]$ .

- **Superfluid** : density changes smoothly from the center of the cloud to its edge
- **Mott insulator** : density changes abruptly; plateaux with uniform density



## Simple picture in 1D :



Munich experimental setup (Sherson *et al.* 2010) :

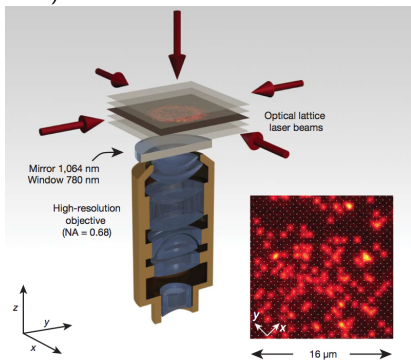
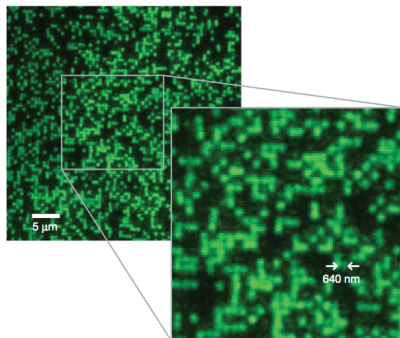
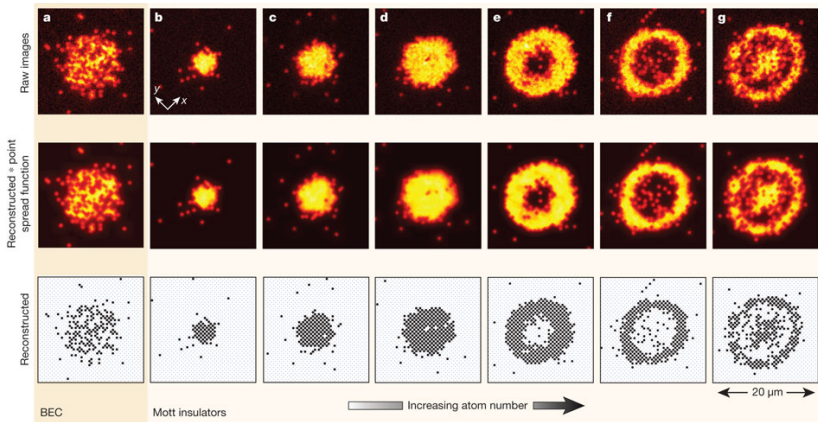


image of a dilute gas

image of a Bose-Einstein condensate in a 2D lattice [Bakr *et al.*, 2010]:



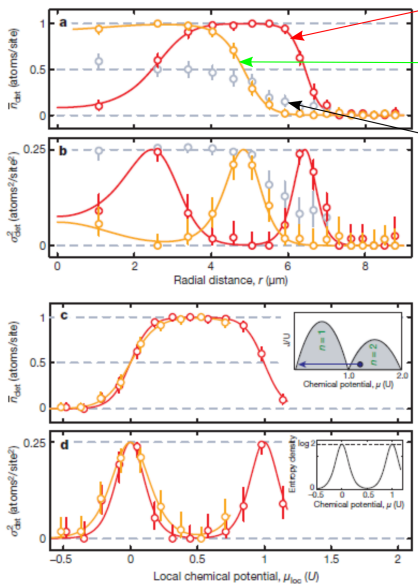
In-situ images of a BEC and of Mott insulators [Sherson *et al.*, Nature 2010]:



Total atom number (or chemical potential) increases from left to right.

Lowest row : reconstructed map of the atom positions, obtained by deconvolution of the raw images to remove the effect of finite imaging resolution.

# Mott shells and LDA



Mott insulator,  
 $n_0=1$  and  $n_0=2$  shells

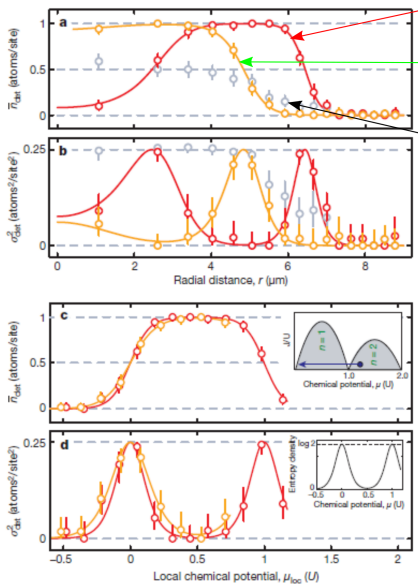


Mott insulator,  
 $n_0=1$  and  $n_0=2$  shells



BEC  
 $n_{\text{max}} \sim 1$

# Mott shells and LDA



Mott insulator,  
 $n_0=1$  and  $n_0=2$  shells

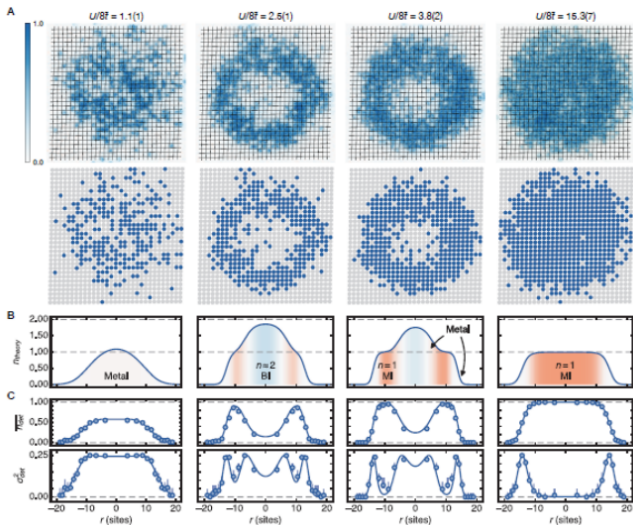


Mott insulator,  
 $n_0=1$  and  $n_0=2$  shells



BEC  
 $n_{\text{max}} \sim 1$

## Two-component fermions with repulsive interactions: Fermionic Mott insulator



[Greif *et al.*, arxiv1511.06366 (2015)]

Fermionic quantum gas microscopes also demonstrated in : Haller *et al.*, arxiv1503.02005 (2015); Cheuk *et al.*, arxiv1503.02648 (2015); Omran *et al.*, arxiv1510.04599 (2015).



Optical lattices are an essential element in the experimental toolkit of modern atomic physics. We covered a couple of applications :

- **Coherent manipulation of atomic wavepackets** : interferometry and metrology,
- **Realization of new strongly correlated states of matter**, e.g. a Mott insulator state.

Many other examples and prospects for the future :

- Spectroscopy without Doppler broadening : optical atomic clocks,
- Dynamical optical lattices in optical cavities,
- Realization of artificial magnetic fields and topological phases of matter,
- Fermionic quantum gases and Hubbard models,
- long-range interactions (dipole-dipole),
- ...

- current experiments achieve  $S/Nk_B \sim 1$ ,
- many interesting phases are awaiting below that scale
- example : two-component repulsive Hubbard model :
  - antiferromagnetic Néel phase below  $S_c/Nk_B \lesssim 0.5$
  - $d$ -wave superconductors ? unknown but certainly much lower entropy.
- limits of the current “cooling then adiabatic transfer” technique,

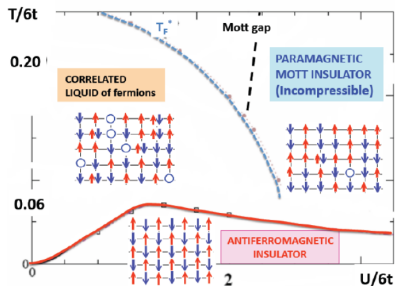


figure from [Georges & Giamarchi, arxiv1308.2684 (2014)]

New methods to cool atoms directly in the lattice badly needed.

See reviews for a more detailed discussion :

[ McKay & DeMarco, , Rep. Prog. Phys. 74, 0544401 (2011)],

[Georges & Giamarchi, arxiv1308.2684 (2014)]

# Thank you for your attention !